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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

3

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/821,387	
	Filing Date	March 28, 2001	
	First Named Inventor	Steve Wai Leung Yeung	
	Group Art Unit	2871	
	Examiner Name		
Total Number of Pages in This Submission	9	Attorney Docket Number	25821P031

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div>Declaration and Power of Attorney; Postcard</div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Eric S. Hyman, Reg. No. 30,139 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
Signature	
Date	6/29/01

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 6/29/01			
Typed or printed name	Lynda Shapiro		
Signature		Date	6/29/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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FREE TRANSMITTAL
for FY 2001.

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT	(\$)	170.00
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Complete if Known

Application Number	09/821,387
Filing Date	03/28/01
First Named Inventor	Steve Wai Leung Yeung
Examiner Name	
Group Art Unit	2871
Attorney Docket Number	25821P031

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

02-2666

Deposit
Account
Name

Blakely, Sokoloff, Taylor & Zafman LLP

- ☒ Charge Any Additional Fee Required Under 37CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. FILING FEE

	Large Entity	Small Entity
1. Revenue	More than \$5 million	Less than \$5 million
2. Assets	More than \$5 million	Less than \$5 million
3. Liabilities	More than \$5 million	Less than \$5 million
4. Employees	More than 50	Less than 50
5. Industry	Not a non-profit	Non-profit
6. Location	Not a small business	Small business
7. Ownership	Not a sole proprietorship	Sole proprietorship
8. Structure	Not a partnership	Partnership
9. Control	Not a limited liability company	Limited liability company
10. Other	Not a corporation	Corporation

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1)

(\$)

2. EXTRA CLAIM FEES

	Extra	Claims		Fee from below	Fee Paid
Total Claims	9	- 20** =	0	X 18.00 =	\$0.00
Independent Claims	1	- 3** =	0	X 80.00 =	\$0.00
Multiple Dependent Claims					

	Large Entity	Small Entity
1. Revenue Recognition	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.
2. Leases	Leases are classified as either finance leases or operating leases. Finance leases are accounted for as a sale of the underlying asset, while operating leases are accounted for as a rental agreement.	Leases are classified as either finance leases or operating leases. Finance leases are accounted for as a sale of the underlying asset, while operating leases are accounted for as a rental agreement.
3. Intangible Assets	Intangible assets are recognized when they are identifiable and have a measurable value. They are then amortized over their useful life.	Intangible assets are recognized when they are identifiable and have a measurable value. They are then amortized over their useful life.
4. Goodwill	Goodwill is recognized as the excess of the purchase price over the fair value of the identifiable intangible assets. It is not amortized but is tested for impairment annually.	Goodwill is recognized as the excess of the purchase price over the fair value of the identifiable intangible assets. It is not amortized but is tested for impairment annually.
5. Research and Development	Research and development costs are expensed as incurred.	Research and development costs are expensed as incurred.
6. Stock-Based Compensation	Stock-based compensation is measured at the fair value of the equity instrument at the time of grant and is expensed over the vesting period.	Stock-based compensation is measured at the fair value of the equity instrument at the time of grant and is expensed over the vesting period.
7. Derivatives	Derivatives are recognized at fair value and are measured at the end of each reporting period. They are classified as either held for trading, available for sale, or designated as a hedging instrument.	Derivatives are recognized at fair value and are measured at the end of each reporting period. They are classified as either held for trading, available for sale, or designated as a hedging instrument.
8. Financial Instruments	Financial instruments are recognized at fair value and are measured at the end of each reporting period. They are classified as either held for trading, available for sale, or designated as a hedging instrument.	Financial instruments are recognized at fair value and are measured at the end of each reporting period. They are classified as either held for trading, available for sale, or designated as a hedging instrument.
9. Income Taxes	Income taxes are recognized as a liability or asset, depending on the timing of the tax expense or benefit. They are measured at the end of each reporting period.	Income taxes are recognized as a liability or asset, depending on the timing of the tax expense or benefit. They are measured at the end of each reporting period.
10. Other	Other accounting standards and practices that apply to large entities.	Other accounting standards and practices that apply to small entities.

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple Dependent claim
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)	0.00
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**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	390	216	195	Extension for response within second month	
117	890	217	445	Extension for response within third month	
118	1,390	218	695	Extension for response within fourth month	
128	1,890	228	945	Extension for response within fifth month.	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidably	
141	1,240	241	620	Petition to revive - unintentionally	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)	(\$)	170.00
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SUBMITTED BY

Typed or
Printed Name

Eric S. Hyman, Reg. No. 30,139

Signature

Date _____

4/29/01

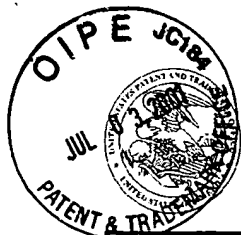
Complete (if applicable)

Reg. Number

Deposit Account	
User ID	

02-2666

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/821,387	03/28/2001	Steve Wai Leung Yeung	25821P031

CONFIRMATION NO. 3593

08791

BLAKELY SOKOLOFF TAYLOR & ZAFMAN
12400 WILSHIRE BOULEVARD, SEVENTH FLOOR
LOS ANGELES, CA 90025

FORMALITIES LETTER



OC00000006047492

Date Mailed: 05/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Previously Docketed

Filing Date Granted

7/7/01

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.* **ENTERED**

07/09/2001 EEKUBAY1 00000249 09821387

01 FC:105

130.00 0P

MAY 15 2001

STATUS DB-LA

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

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